

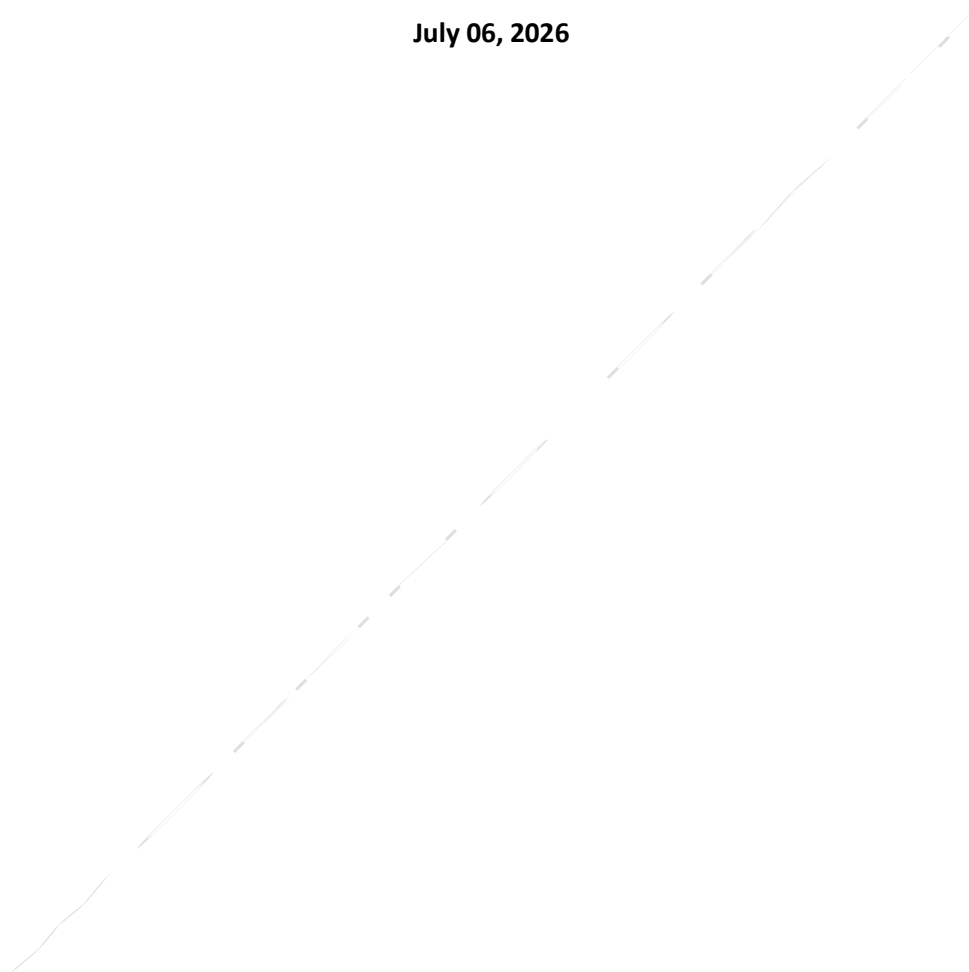
ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

Government of National Capital Territory of Delhi (GNCTD)

Preparation Grant for Delhi Clean Air Program – Preparation Grant (P517483)

For Appraisal / Negotiations

July 06, 2026



ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of National Capital Territory of Delhi (GNCTD), acting through the Department of Environment (DoE), is planning to implement activities for project preparation (the "Activities") for the Preparation and Implementation Readiness of Delhi Clean Air Program (DCAP), Project Number P517483. The Activities will be implemented by the Delhi Pollution Control Committee (DPCC), Government of NCT of Delhi, with day-to-day administrative oversight by the Secretary, Department of Environment. The International Bank for Reconstruction and Development (hereinafter the Bank), acting as the trustee of the Grant Facility for Project Preparation, has agreed to provide the grant to finance the Activities, as set out in the referred Agreement.
2. The Recipient shall ensure that the Activities are carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented for the Activities, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreement, the Recipient shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Government of National Capital Territory of Delhi (GNCTD), this ESCP will be revised from time to time if necessary, during the implementation of the Activities, to reflect adaptive management of changes and unforeseen circumstances related to the Activities or in response to assessment of performance of the Activities. In such circumstances, the Bank and the Recipient agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient's Representative specified in the Agreement or Secretary, Department of Environment, GNCTD. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
IMPLEMENTATION ARRANGEMENTS			
A.	ORGANIZATIONAL STRUCTURE Establish E&S arrangements in DPCC, with qualified staff and resources to support management of environmental and social (E&S) risks and impacts of the Activities, including: a. Designation of E&S specialist with relevant environmental and/or social qualifications b. Coordinator to oversee stakeholder engagement activities, with particular attention to informal sector communities under the Communications and Behavior Change Campaign, the Polluting Vehicle Removal Roadmap, and the Waste Sector Strategic Investment Plan; overall labour management, all transition related adverse impacts c. Establish and maintain a Project Management Unit (PMU) within the Department of Environment (DoE), GNCTD, with qualified social specialist and environmental specialist to support management of environmental and social (E&S) risks and impacts	<i>Appoint the PMU focal points within 30 days of the sign of the GFPP agreement and thereafter maintain the focal points throughout the Activities implementation.</i>	DPCC
MONITORING AND REPORTING			
A	REGULAR REPORTING Prepare and submit to the World Bank monitoring reports on the E&S performance of the Activities, including: (a) status of implementation of this ESCP; (b) progress on preparation of E&S instruments and Terms of Reference under each Activity; (c) records of stakeholder engagement activities conducted; and (d) status of any complaints or grievances received and their resolution.	<i>Submit semi-annual reports to the World Bank during implementation of the Activities, commencing no later than six months after the Effective Date. Submit each report no later than 10 days after the end of each reporting period, or within the timeframe requested by the World Bank.</i>	DPCC and DoE (PMU)
B	INCIDENTS AND ACCIDENTS	<i>Notify the World Bank no later than 48 hours after learning of the incident or accident. Provide available details upon request.</i>	DPCC and DoE (PMU)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Notify the World Bank of any incident or accident relating to the Activities which has, or is likely to have, a significant adverse effect on the environment, affected communities, the public, or workers, including events resulting in death or significant injury; acts of violence, discrimination, or protest; allegations of sexual exploitation and abuse (SEA) or sexual harassment (SH); or disease outbreaks. Arrange for an appropriate review to establish the immediate, underlying, and root causes, and prepare and implement a Corrective Action Plan agreed with the World Bank that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.	<i>Submit the review report and Corrective Action Plan no later than 10 days following the initial notice, unless a different timeframe is agreed in writing by the World Bank.</i>	
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.2	TECHNICAL ASSISTANCE Ensure that all consultancies, studies, pilot activities, and capacity building financed under the Activities are carried out in accordance with Terms of Reference (ToRs) agreed with the World Bank and consistent with the Environmental and Social Standards (ESSs). Specifically: (a) All ToRs shall be reviewed and cleared by the World Bank E&S team prior to procurement, to ensure that study designs, analytical frameworks, and investment recommendations remain consistent with ESF requirements and do not foreclose ESF-consistent options for downstream investments. ToRs shall explicitly include provisions requiring compliance with applicable national laws and relevant ESSs, including the prohibition of child labor, forced labor, and sexual exploitation and abuse/sexual harassment (SEA/SH), and adherence to applicable occupational health and safety (OHS) standards. (b) The ToR for the Polluting Vehicle Removal Roadmap shall identify and assess socioeconomic impacts on lower-income and informal-sector users, an analysis of vehicle disposition pathways (including the equity-environment trade-off between scrappage and resale to other jurisdictions), and consideration of transition support mechanisms for affected vulnerable groups;	<i>Throughout implementation of the Activities. All ToRs to be cleared by the World Bank E&S team prior to issuance of procurement documents.</i>	DPCC with DoE (PMU), DoT, MCD, and DTL as relevant

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	(c) The ToR for the Waste Sector Strategic Investment Plan shall require assessment of livelihood transition risks for informal waste pickers and E&S risk identification for recommended downstream investment options, including but not limited to mechanized biological treatment, refuse-derived fuel, bio-CNG, and waste-to-energy facilities; (d) The ToR for the AI-Camera C&D Monitoring shall address data privacy, worker surveillance, and automated enforcement fairness, consistent with India's Digital Personal Data Protection Act 2023; (e) The ToR for the EV Charging Infrastructure Roadmap shall require identification of encumbrance-free sites with clearly defined institutional responsibilities, assessment of temporary adverse impacts during installation and refurbishment works, and consideration of thermal runaway risks and fire and smoke emergency response protocols for EV charging assets.		
ESS 2: LABOR AND WORKING CONDITIONS			
2	LABOR MANAGEMENT PROCEDURES Ensure that all workers engaged in the implementation of the Activities are managed consistently with ESS2. To this end: (a) provide workers with written contracts setting out terms and conditions of employment, including hours of work, wages, overtime, compensation and benefits, and details of termination and severance, as applicable; (b) implement occupational health and safety (OHS) measures, including provision of personal protective equipment and emergency preparedness and response arrangements, consistent with the World Bank Group's Environmental, Health and Safety Guidelines (EHSGs) and other relevant Good International Industry Practice (GIIP); (c) prevent the use of forced labor and child labor in all Activities;	<i>Throughout implementation of the Activities.</i>	DPCC and DoE (PMU) with regular inputs from DoT, MCD, and DTL

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	(d) ensure workers have access to grievance and redress mechanisms without fear of retaliation, and effective freedom to form or join workers' organizations; (e) develop and implement a Code of Conduct for all project workers and consultants, which shall include measures to prevent and respond to Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH), as well as provisions on professional integrity and non-discrimination; all project workers and consultants shall be required to sign and adhere to the Code of Conduct prior to commencing work under the Activities; (f) incorporate the above requirements in procurement documents and contracts with third parties engaging workers under the Activities.		

ESS 3 TO ESS 8			
3	Relevant aspects of ESS3 (Resource Efficiency and Pollution Prevention), ESS4 (Community Health and Safety), and other applicable standards shall be considered in the technical assistance activities under action 1.2 above, as relevant. In particular: (a) ESS3 and ESS4 considerations shall be embedded in the ToRs for the Waste Sector Strategic Investment Plan, the AI-Camera C&D Monitoring, and the EV Charging Infrastructure Roadmap, given the downstream air emissions, OHS, fire safety, and community health implications of recommended investments; (b) ESS4 considerations relating to community health and safety shall be addressed in the design of the AI-Camera C&D Monitoring architecture and the EV Charging Infrastructure Roadmap, including thermal runaway risk and emergency response provisions.	<i>Same timeframe as for action 1.2.</i>	DPCC and DoE / PMU
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE		

	Incorporate stakeholder engagement and information disclosure measures in the implementation of the Activities, consistent with ESS10. To this end: (a) provide stakeholders with timely, understandable, and accessible information on the E&S risks and impacts of the Activities, including through government websites, public notices, and community outreach, as appropriate; (b) conduct stakeholder consultations in a culturally appropriate manner, free of manipulation, coercion, discrimination, and intimidation; (c) under the Communications and Behavior Change Campaign, conduct audience segmentation research and design campaign materials that reach low-literacy groups, informal-sector communities, and non-Hindi speaking populations; (d) under the Polluting Vehicle Removal Roadmap and the Waste Sector Strategic Investment Plan, engage directly with informal-sector stakeholders — including commercial drivers, small fleet operators, and informal waste pickers — whose livelihoods may be affected by recommended policy designs; (e) under bus service optimization support, validate accessibility and enhanced public transport ridership through user feedback (e) document all stakeholder engagement activities, including stakeholder mapping, records of consultations held, feedback received, responses provided, and measures taken to engage disadvantaged or vulnerable groups.	<i>Throughout implementation of the Activities, commencing no later than 30 days after the Effective Date.</i>	DPCC and DoE (PMU) with DoT, and MCD as relevant
10.2	GRIEVANCE MANAGEMENT Establish and maintain a grievance mechanism to receive, record, and facilitate timely and effective resolution of concerns and complaints in relation to the Activities. The mechanism shall: (a) be readily accessible to all parties affected by the Activities, at no cost and without retribution; (b) accept anonymous complaints;	<i>Establish the grievance mechanism no later than 30 days after the Effective Date and maintain it throughout implementation of the Activities.</i>	DPCC and DoE (PMU) in coordination with all entities

	(c) be culturally appropriate and communicated in accessible formats and languages; (d) maintain complaints register and provide regular status updates to complainants on the resolution of their concerns; (e) report on grievances received and resolved in the semi-annual ESCP monitoring reports submitted to the World Bank.		
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